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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Aravind Yalamanchi  
Serial No.: 10/815,220  
Filed on: March 30, 2004

) Confirmation No.: 7098  
)  
) Examiner: NYA  
)  
) Group Art Unit No.: 2171  
)

For: MANAGING EVENT-CONDITION-ACTION RULES IN A DATABASE SYSTEM

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):



37 C.F.R. §1.97(b). It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).



37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:



A statement pursuant to 37 C.F.R. §1.97(e)

☐ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

☐ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

☐ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).



The Examiner is hereby notified that the present application is related to the following related application(s):

**DISCLOSURE OF RELATED APPLICATIONS**

U.S. Application/ Pat. No.	File Date	Atty. Docket. No.

The related application(s) may contain subject matter that is related to the subject matter of the present application. The related application(s) may contain one or more claims that may be substantially similar to one or more claims in the present application, and those claims may have been rejected in the related application(s). Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.



The Examiner is hereby notified that for the following related application(s) an Office Action has been received as indicated below:

**DISCLOSURE OF OFFICE ACTIONS**

U.S. Application/ Pat. No.	File Date	Office Action Mailing Date	Atty. Docket. No.
10/254,383	09/24/2002	12/13/2005	50277-1942
10/365,771	02/12/2003	01/04/2006	50277-2109


The related application(s) may contain one or more claims that may be substantially similar to one or more claims in the present application, and those claims may have been rejected in the related application(s). Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: February 2, 2006

  
John D. Henkhaus  
Reg. No. 42,656

2055 Gateway Place, Suite 550  
San Jose, California 95110-1089  
Telephone: (408) 414-1080  
Facsimile: (408) 414-1076

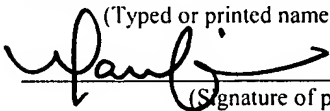
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February 3, 2006  
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Maria Eivers

(Typed or printed name of person mailing correspondence)



(Signature of person mailing correspondence)

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)</b>	<b>ATTY. DOCKET NO.</b> 50277-2415	<b>SERIAL NO.</b> 10/815,220
	<b>APPLICANT:</b> Aravind Yalamanchi	
	<b>FILING DATE:</b> March 30, 2004	<b>GROUP:</b> 2171

U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
		5,970,244		Nagahashi et al.	10-19-1999	
		2003/0120642	A1	Egilsson et al.	06-2003	
		6,901,410		Marron et al.	05-2005	
		2005/0203940	A1	Farrar et al.	09-15-2005	
		2005/0222978	A1	Drory et al.	10-06-2005	

OTHER ART – NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		BEA, "Using Expressions and Conditions," WebLogic Process Integrator Release 1.1, 2000, located on the internet at < <a href="http://e-docs.bea.com/wlpi/wlpi11/studio/ch6.htm">http://e-docs.bea.com/wlpi/wlpi11/studio/ch6.htm</a> >, retrieved on 12/29/2005, 12 pages.	
		CHAMBERLAIN, DON, "Query Languages and XML," Powerpoint Presentation, IBM Almaden Research Center, December 2000, located on the internet at < <a href="http://www-db.sc.wisc.edu/dbseminar/fall00/talks/chamberlain">http://www-db.sc.wisc.edu/dbseminar/fall00/talks/chamberlain</a> >, 26 pages.	
		CHAMBERLAIN, DON et al., "XQuery: A Query Language for XML," W3C Working Draft, 15 February 2001, located on the internet at < <a href="http://www.w3.org/TR/2001/WD-xquery-20010215/">http://www.w3.org/TR/2001/WD-xquery-20010215/</a> >, retrieved on 12/29/2005, 83 pages.	
		CLARK, JAMES et al., "XML PATH Language (XPath), Version 1.0," W3C, 1999, located on the internet at < <a href="http://www.w3.org/TR/xpath.html">http://www.w3.org/TR/xpath.html</a> >, retrieved on 12/29/2005, 37 pages.	

Examiner Signature		Date Considered	
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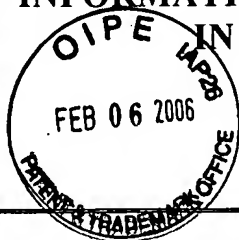
\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)



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50277-2415

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## U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			

## OTHER ART – NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		KRISHNAPRASAD, MURALIDHAR et al., "Query Rewrite in Oracle XML DB," Proceeding of the 30 <sup>th</sup> VLDB Conference, 2004, pp. 1134-1145.	
		UNKNOWN AUTHOR, unknown title, located on the internet at <www.cs.uku.fi/kilpelai/RDK01/lectures/Xquery.pdf>, 2001, 10 pages.	

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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